

# EINSTEIN EQUATION AS $\Phi$ -SELF-CONSISTENCY AND BIANCHI IDENTITY FROM $\text{Diff}(M^4)$ SYMMETRY IN ODTOE

(Уравнение Эйнштейна как  $\Phi$ -самосогласованность и тождество  
Бианки из  $\text{Diff}(M^4)$ -симметрии в ODTOE)

*A dual-path Bianchi proof, the  $\Phi$  fixed-point theorem, and an ODTOE analog of the singularity  
theorem*

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## ABSTRACT

This paper closes stage 3 of programme §XIV.3 of [13]: the Einstein equation  $G_{\mu\nu} + \Lambda g_{\mu\nu} = (8\pi G/c^4)T_{\mu\nu}$  is derived in ODTOE as a  $\Phi$ -self-consistency condition on pairs  $(g, T)$ , and the Bianchi identity  $\nabla_\mu G^{\mu\nu} = 0$  is established along two independent paths: (i) the kinematic path via Theorem A.T3 of [14] (contraction of the second Bianchi identity on a smooth pseudo-Riemannian metric); (ii) the Noether path via diffeomorphism invariance of the observer action  $S_{\text{obs}} = \int B^2(1 - \sigma)\Lambda\sqrt{-g}d^4x$  of [15]. Three central theorems are formulated and proved. C.T1 ( $\Phi$ -self-consistency): a pair  $(g, T)$  solves the Einstein equation iff it is a fixed point of the map  $\Phi_C = \iota \circ \hat{O}$  on the  $\Phi$ -invariant subspace  $C_{\text{contr}} \subset \mathcal{M} \times \mathcal{T}$ ; existence and uniqueness modulo  $\text{Diff}(M^4)$  are secured by the Banach fixed-point theorem [6] for a contraction map, the contraction argument resting only on geometric and observer-action bounds and not assuming the Einstein equation (anti-circularity audit). C.T2 (dual-path Bianchi identity): the Path 1 (A.T3 kinematic) and Path 2 (Noether) results coincide as tensor expressions; numerical verification at 50-digit precision on the Schwarzschild ground state yields  $|\nabla_\mu G^{\mu\nu}|_{\text{Path 1}} - |\nabla_\mu G^{\mu\nu}|_{\text{Path 2}} < 10^{-45}$ . C.T3 (ODTOE singularity theorem): under the ODTOE energy condition, the trapped-configuration analog via the causal cone  $J_{\mathcal{O}}^+$  of [13] §VI, and the ontological collapse condition  $B \rightarrow 0$  of [16] §VII.3, there exists a  $\Phi$ -iteration sequence of finite affine parameter terminating in the  $\text{Fix}(\Phi)$  attractor with no successor in  $J_{\mathcal{O}}^+$ ; this is the structural analog of the Hawking–Penrose theorem [3, 4, 9]. The work closes the three-stage programme of the full derivation of the tensor structure of gravity in ODTOE (stage 1 – [14], stage 2 – [15]) and fixes six symbols C.T1, C.T2, C.T3,  $\Phi_C$ ,  $\text{Fix}(\Phi_{\text{field}})$ , T2-Path-1/T2-Path-2 for subsequent works of the corpus.

**Keywords:** ODTOE, Einstein equation,  $\Phi$ -self-consistency, Banach theorem, Bianchi identity, Noether theorem,  $\text{Diff}(M^4)$ , singularity theorem, fixed point,  $\Phi$ -iteration, Schwarzschild, Kerr, FLRW,  $\chi_\Lambda(S^*)$ , causal structure

# АННОТАЦИЯ

В настоящей работе закрывается этап 3 программы §XIV.3 из [13]: уравнение Эйнштейна  $G_{\mu\nu} + \Lambda g_{\mu\nu} = (8\pi G/c^4)T_{\mu\nu}$  выводится в ODTOE как условие  $\Phi$ -самосогласованности на пары  $(g, T)$ , а тождество Бианки  $\nabla_\mu G^{\mu\nu} = 0$  устанавливается двумя независимыми путями: (i) кинематический путь через теорему А.Т3 из [14] (свёртка второго тождества Бианки на гладкой псевдоримановой метрике); (ii) Noether-путь через диффеоморфную инвариантность действия наблюдателя  $S_{\text{obs}} = \int B^2(1 - \sigma)\Lambda\sqrt{-g}d^4x$  из [15]. Сформулированы и доказаны три центральные теоремы: С.Т1 ( $\Phi$ -самосогласованность), С.Т2 (двух-путевое тождество Бианки) и С.Т3 (ODTOE-аналог теоремы о сингулярностях). Работа замыкает трёхэтапную программу полной деривации тензорной структуры гравитации в ODTOE.

**Ключевые слова:** ODTOE, уравнение Эйнштейна,  $\Phi$ -самосогласованность, теорема Банаха, тождество Бианки, теорема Нётер,  $\text{Diff}(M^4)$ , теорема о сингулярностях, фиксированная точка,  $\Phi$ -итерация, Шварцшильд, Керр, FLRW,  $\chi_\Lambda(S^*)$ , причинная структура.

## I. INTRODUCTION AND PROBLEM STATEMENT

In general relativity, the Einstein equation

$$G_{\mu\nu} + \Lambda g_{\mu\nu} = \frac{8\pi G}{c^4} T_{\mu\nu} \quad (1.1)$$

relates spacetime geometry (left-hand side) to the energy-momentum distribution (right-hand side). The standard variational derivation of equation (1.1) – Hilbert–Einstein action  $S_H = (c^4/16\pi G) \int R\sqrt{-g}d^4x$  plus matter [9] §E.1.7 – yields the field equation as the Euler–Lagrange equation on the metric; the Bianchi identity  $\nabla_\mu G^{\mu\nu} = 0$  then arises either as a kinematic consequence of contracting the second Bianchi identity (geometry) or as a Noether identity from diffeomorphism invariance (dynamics). In the ODTOE formulation both paths are reconstructed and explicitly identified with each other.

*Programme context.* In [13] §XIV.3 a three-stage programme of the full derivation of the tensor structure of gravity in ODTOE is formulated: (1) tensor layer ( $g_{\mu\nu}$ ,  $\nabla_\mu$ ,  $R^\rho{}_\sigma{}_{\mu\nu}$ ,  $G_{\mu\nu}$ ); (2) source ( $T_{\mu\nu}$  from the B-functional, closed form  $\chi_\Lambda(S^*)$ ); (3) closure (the field equation as  $\Phi$ -self-consistency, the dynamical Bianchi identity as a Noether consequence, the ODTOE analog of the singularity theorem). Stage 1 is closed by [14]; stage 2 is closed by [15]. The present paper closes stage 3.

*Epistemic status.* The work derives: (i) Theorem C.T1 on  $\Phi$ -self-consistency – formulation and proof of existence and uniqueness (modulo  $\text{Diff}(M^4)$ ) of the fixed point  $\Phi_C(g, T) = (g, T)$ ; (ii) Theorem C.T2 on the dual-path Bianchi identity – synchronous proof of  $\nabla_\mu G^{\mu\nu} = 0$  via the kinematic path and the Noether path, with numerical verification of consistency on the Schwarzschild ground state at 50-digit precision; (iii) Theorem C.T3 on singularities – ODTOE analog of the Hawking–Penrose theorem [4] via the trigger  $B \rightarrow 0$  under the ODTOE energy condition and

the trapped-configuration analog. An anti-circularity audit of both C.T2 paths and the C.T1 proof is shown explicitly: Path 2 uses only  $S_{\text{obs}}$  invariance from [15] and Noether’s theorem [2]; the contraction argument for C.T1 rests on geometric estimates and observer-action bounds without assuming equation (1.1).

## I.1. What the present paper closes

From the list of open tasks of stage 3 of programme [13] §XIV.3, the following are closed:

1. **The Einstein equation as  $\Phi$ -self-consistency.** In §VI Theorem C.T1 establishes the equivalence  $G_{\mu\nu} + \Lambda g_{\mu\nu} = (8\pi G/c^4)T_{\mu\nu} \iff \Phi_C(g, T) = (g, T)$  for all  $(g, T) \in C_{\text{contr}}$ , where  $\Phi_C = \iota \circ \hat{O}$  is the map induced by the canonical projection of observation. Existence of the fixed point is guaranteed by the Banach fixed-point theorem [6].
2. **Dual-path Bianchi identity  $\nabla_\mu G^{\mu\nu} = 0$ .** In §IV Path 2 is proved — the dynamical path via Noether’s theorem [2] for  $S_{\text{obs}}$  under  $\text{Diff}(M^4)$ ; in §V Path 2 is synchronized with Path 1 = A.T3 of [14], and numerical consistency on the Schwarzschild ground state is checked (Theorem C.T2).
3. **ODTOE analog of the Hawking–Penrose theorem.** In §VII Theorem C.T3 establishes the existence of a  $\Phi$ -iteration sequence of finite affine parameter ending in the  $\text{Fix}(\Phi)$  attractor with no successor in  $J_O^+$ , under three conditions: (a) the ODTOE energy condition derived from L8 in [15] §VII; (b) the trapped-configuration analog via  $J_O^+$  of [13] §VI; (c) the ontological collapse at  $B \rightarrow 0$  from [16] §VII.3. This is the structural analog of the Penrose [3] and Hawking–Penrose [4] results.
4. **Exact vacuum Schwarzschild solution as a test point for  $\Phi$ -fixedness.** In §VIII the pair  $(g_{\text{Schw}}, T = 0)$  with  $\Lambda = 0$  is verified as a fixed point of  $\Phi_C$ ; Theorem A.T4 of [14] is used.
5. **Kerr solution as a fixed point of  $\Phi_C$ .** In §IX the result A.T5 of [14] is cited without re-derivation; the pair  $(g_{\text{Kerr}}, T = 0)$  is a fixed point of  $\Phi_C$  for a rotating source.
6. **FLRW as an exact solution using  $\chi_\Lambda(S^*)$ .** In §X the closed form  $\chi_\Lambda(S^*)$  from [15] §VIII is substituted into the Friedmann equation; the result  $\Omega_\Lambda \approx 0.688647$  matches Planck 2018 within  $0.05\sigma$ .

## I.2. Structure of the exposition

§II recapitulates the inputs from [14] and [15] in the form of six fixed contracts. §III formulates the  $\text{Diff}(M^4)$  invariance of  $S_{\text{obs}}$  and prepares the Noether apparatus. §IV contains the central proof of Path 2 for C.T2 with an explicit anti-circularity audit. §V synchronizes Path 1 and Path 2 and gives the numerical verification on

the Schwarzschild ground state. §VI formulates and proves Theorem C.T1 on  $\Phi$ -self-consistency. §VII proves Theorem C.T3 on singularities. §VIII–§X give verifications on Schwarzschild, Kerr, and FLRW. §XI concludes. Then follow the sections on acknowledgements, conflict of interest, and funding (per L-33), and after them the bibliography.

## II. INPUTS FROM A AND B (FIXED CONTRACTS)

### II.1. Contracts from Article A (tensor structure, [14])

Article A [14] fixed the tensor layer of ODT OE gravity in the form of six structural results, cited below without re-derivation:

- Metric tensor  $g_{\mu\nu}(C;O)$  as observer-correlator:  $g_{\mu\nu} = \langle \partial_\mu \Phi, \partial_\nu \Phi \rangle_{O,C}$  (see [14] formula (F1) of that source). Anchors C.F1.
- Covariant derivative  $\nabla_\mu$  as  $\Phi$ -iteration commutator:  $\nabla_\mu V^\nu = \lim_{\Delta x \rightarrow 0} (1/\Delta x) [\Phi_{\Delta x}^{(\mu)} V^\nu - V^\nu(x + \Delta x \hat{e}_\mu)]$  (see [14] formula (F3) of that source). Levi-Civita connection  $\Gamma^\rho_{\mu\nu}$  given by the standard formula [14] (F4).
- Riemann curvature tensor  $R^\rho_{\sigma\mu\nu}$  as a measure of non-commutativity of SYNC operations along two directions:  $R^\rho_{\sigma\mu\nu} V^\sigma = [\nabla_\mu, \nabla_\nu] V^\rho$  (see [14] formula (F5) of that source).
- Einstein tensor  $G_{\mu\nu} = R_{\mu\nu} - (1/2)g_{\mu\nu}R$  (see [14] formula (F9) of that source). Anchors C.F1.
- Kinematic Bianchi identity  $\nabla_\mu G^{\mu\nu} = 0$  as a purely geometric consequence of metric smoothness (Theorem A.T3 of [14]); this is *Path 1* for C.T2 in the present work.
- Schwarzschild (Theorem A.T4) and Kerr (Theorem A.T5) solutions as exact ODT OE constructions; used in §VIII and §IX.

### II.2. Contracts from Article B (tensor source, [15])

Article B [15] fixed the tensor source in the form of six structural results:

- Observer action  $S_{\text{obs}}[g, B, \sigma, \Lambda] = \int_{\mathcal{M}^4} B(O, C)^2 (1 - \sigma(O, C)) \Lambda(O, C) \sqrt{-g} d^4x$  (see [15] formula (F4) of that source). Anchors C.F2.
- SYNC projector  $P_{O,\text{SYNC}} : \mathcal{H} \rightarrow \mathcal{C}$  as orthogonal projection onto the closed  $\Phi$ -invariant subspace (see [15] formula (F8) of that source).
- Stress-energy tensor  $T_{\mu\nu}$  via variational derivative:  $T_{\mu\nu} = (2/\sqrt{-g}) \delta(\sqrt{-g} \mathcal{L}_{\text{obs}}) / \delta g^{\mu\nu}$  with explicit component form  $T_{\mu\nu} = 2B^2(1 - \sigma)\Lambda (P_{O,\text{SYNC}})_{\mu\nu} - g_{\mu\nu}B^2(1 - \sigma)\Lambda$  (see [15] formulae (F15)–(F16) of that source).

- Lemma L7 on idempotency  $P_{O,\text{SYNC}}^2 = P_{O,\text{SYNC}}$  (proved in [15] §V via the orthogonal projection theorem in Hilbert space [1] Thm II.3).
- Lemma L8 on conservation  $\nabla_\mu T^{\mu\nu} = 0$  (proved in [15] §VII via the covariant derivative fixed in [14] §IV.1, formula (F3) of that source). This is the second key input for C.T2 Path 2 — namely L8 ensures compatibility of the Noether derivation with the tensor source.
- Closed form of the cosmological constant  $\chi_\Lambda(S^*) = (3\varphi^2)/(8\pi(\varphi^2 + 1 + Z(S^*)))$ , where  $Z(S^*) = (\pi - 3)/(1 - (\pi - 3)\varphi)$  (see [15] formula (F23) of that source). Used in §X for FLRW.

### II.3. Notation freeze and the space of pairs $(g, T)$

Throughout the present paper the following notation is used:

- $\mathcal{M}$  — space of smooth pseudo-Riemannian metrics  $g_{\mu\nu}$  on the 4-manifold  $M^4$  with signature  $(-, +, +, +)$  [8];  $\mathcal{T}$  — space of symmetric  $(0, 2)$ -tensor fields  $T_{\mu\nu}$  on  $M^4$  (potential stress-energy tensors).
- $\Phi = \iota \circ \hat{O}$  — canonical self-observation operator [10] §II, [11] §IV.3 (used without re-definition).
- $\Phi_C : \mathcal{M} \times \mathcal{T} \rightarrow \mathcal{M} \times \mathcal{T}$  — *new* notation of the present paper for the induced map on pairs  $(g, T)$ . Formal definition is given in §VI.1.
- $\text{Fix}(\Phi_{\text{field}}) \equiv \{(g, T) \in C_{\text{contr}} : \Phi_C(g, T) = (g, T)\}$  — fixed-point set, identified with the solution set of equation (1.1) in C.T1.
- $C_{\text{contr}} \subset \mathcal{M} \times \mathcal{T}$  —  $\Phi$ -invariant subspace on which  $\Phi_C$  is a contraction map (formal definition in §VI.2).
- $\Pi_I$  — inertial scalar potential in the notation of Article A [14] §II.2; the legacy notation  $\Phi_I$  from [12] §IX is used only inside a historical footnote.

*Remark on notation collisions (BL-29 audit).* The symbol  $\Phi$  is reserved for the self-observation operator;  $\Phi_C$  is *new* notation for the map on pairs  $(g, T)$ , not overlapping with  $\Phi$ ,  $\Pi_I$ ,  $T$  (temperature),  $T_{\mu\nu}$  (stress-energy tensor), or  $T1 - T4$  (Trust Index).  $\text{Diff}(M^4)$  is the standard notation for the diffeomorphism group of the 4-manifold [7] §3.1, new for the ODTOE corpus.

## III. $\text{DIFF}(M^4)$ INVARIANCE OF $S_{\text{obs}}$ : NOETHER SETUP

### III.1. Observer action as a $\text{Diff}(M^4)$ -invariant scalar

The observer action of [15] formula (F4):

$$S_{\text{obs}}[g, B, \sigma, \Lambda] = \int_{\mathcal{M}^4} \mathcal{L}_{\text{obs}} \sqrt{-g} d^4x, \quad \mathcal{L}_{\text{obs}} = B^2(1 - \sigma)\Lambda \quad (\text{C.F2})$$

is a  $\text{Diff}(M^4)$ -invariant scalar: the integrand  $\sqrt{-g} \mathcal{L}_{\text{obs}}$  transforms as a 4-form [9] §E.1.5; integration over the 4-manifold  $M^4$  yields a scalar; the local fields  $B(O, C)$ ,  $\sigma(O, C)$ ,  $\Lambda(O, C)$  are scalars independent of the choice of coordinates on  $M^4$  for a fixed observer-configuration pair [15] §II.

*Source of Diff invariance.* This invariance is established in [15] §III.1 as inherited from the standard conventions of the Hilbert action (see also [9] §E.1.5 for the general discussion). In the present work it is used as a fixed contract — Path 2 for C.T2 (§IV below) rests *only* on this invariance, Noether's theorem [2], and the tensor  $T_{\mu\nu}$  from [15] (formula (F15) of that source), but *not* on the field equation (1.1).

## III.2. Infinitesimal diffeomorphism and Lie derivative

An infinitesimal diffeomorphism  $x^\mu \rightarrow x^\mu + \xi^\mu(x)$  with smooth vector field  $\xi^\mu \in \mathcal{X}(M^4)$  of compact support induces variations of fields via Lie derivative:

$$\delta_\xi g_{\mu\nu} = \mathcal{L}_\xi g_{\mu\nu} = \nabla_\mu \xi_\nu + \nabla_\nu \xi_\mu \quad (\text{C.F3})$$

$$\delta_\xi(\sqrt{-g}) = \nabla_\mu(\xi^\mu \sqrt{-g}), \quad \delta_\xi \mathcal{L}_{\text{obs}} = \xi^\mu \nabla_\mu \mathcal{L}_{\text{obs}} \quad (3.1)$$

Here  $\nabla_\mu$  is the covariant derivative fixed in [14] §IV.1 (formula (F3) of that source). The scalars  $B$ ,  $\sigma$ ,  $\Lambda$  transform by the scalar field rule  $\delta_\xi f = \xi^\mu \nabla_\mu f = \xi^\mu \partial_\mu f$ .

## III.3. Variation of $S_{\text{obs}}$ under diffeomorphism

Diff invariance means  $\delta_\xi S_{\text{obs}} = 0$  for any  $\xi^\mu$  of compact support. Expansion of the variation gives:

$$\delta_\xi S_{\text{obs}} = \int_{M^4} \left[ \frac{\delta(\sqrt{-g} \mathcal{L}_{\text{obs}})}{\delta g^{\mu\nu}} \delta_\xi g^{\mu\nu} + \frac{\partial(\sqrt{-g} \mathcal{L}_{\text{obs}})}{\partial \psi} \delta_\xi \psi \right] d^4x = 0 \quad (\text{C.F4})$$

where  $\psi$  denotes the collection of scalar fields  $(B, \sigma, \Lambda)$ . Using the identity  $\delta g^{\mu\nu} = -g^{\mu\rho} g^{\nu\sigma} \delta g_{\rho\sigma}$  and substituting (C.F3) gives the first term in the form  $-2(\delta(\sqrt{-g} \mathcal{L}_{\text{obs}})/\delta g^{\mu\nu}) g^{\mu\rho} g^{\nu\sigma} \nabla_{(\rho} \xi_{\sigma)}$ . By the definition of the tensor  $T_{\mu\nu}$  from [15] formula (F15):

$$T_{\mu\nu} = \frac{2}{\sqrt{-g}} \frac{\delta(\sqrt{-g} \mathcal{L}_{\text{obs}})}{\delta g^{\mu\nu}} \quad (3.2)$$

the first term is written as  $-\int_{M^4} T^{\mu\nu} \nabla_\mu \xi_\nu \sqrt{-g} d^4x$ . This is the standard Noether setup for the stress-energy tensor [2, 9].

## III.4. Noether identity and conservation

Using the integration-by-parts identity (boundary terms vanish due to compactness of the support of  $\xi^\mu$  [9] §E.1.5):

$$\int_{M^4} T^{\mu\nu} \nabla_\mu \xi_\nu \sqrt{-g} d^4x = - \int_{M^4} \xi_\nu \nabla_\mu T^{\mu\nu} \sqrt{-g} d^4x \quad (3.3)$$

we obtain the equivalent form of the variation:

$$\delta_{\xi} S_{\text{obs}} = \int_{M^4} \xi_{\nu} \nabla_{\mu} T^{\mu\nu} \sqrt{-g} d^4x = 0 \quad \forall \xi^{\mu} \in \mathcal{X}_c(M^4) \quad (\text{C.F5})$$

By the fundamental lemma of the calculus of variations [9] §E.1.5 the arbitrariness of  $\xi^{\mu}$  implies vanishing of the integrand:

$$\nabla_{\mu} T^{\mu\nu} = 0 \quad (3.4)$$

This is a *re-discovery* of L8 from [15] §VII via the symmetry route. In Article B, L8 is proved through the idempotency L7 and the fixed covariant derivative; in the present paper (3.4) is derived independently as a Noether consequence of diffeomorphism invariance of  $S_{\text{obs}}$ . The equivalence of the two derivation routes is itself an important result, ensuring the internal consistency of the ODT OE tensor apparatus.

## IV. PATH 2: DYNAMICAL BIANCHI IDENTITY FROM NOETHER'S THEOREM

### IV.1. Statement of the Path 2 theorem

**Theorem C.T2 (Path 2 – dynamical Bianchi identity from  $\text{Diff}(M^4)$  symmetry).** *Let  $S_{\text{total}} = S_{\text{grav}} + S_{\text{obs}}$ , where  $S_{\text{grav}} = (c^4/16\pi G) \int (R - 2\Lambda) \sqrt{-g} d^4x$  is the Hilbert action,  $S_{\text{obs}}$  is the observer action of (C.F2). If both summands are  $\text{Diff}(M^4)$ -invariant, then for any configuration  $(g_{\mu\nu}, B, \sigma, \Lambda)$  the Noether identity holds*

$$\nabla_{\mu} \left[ G^{\mu\nu} + \Lambda g^{\mu\nu} - \frac{8\pi G}{c^4} T^{\mu\nu} \right] = 0 \quad (\text{C.F6})$$

Combined with Lemma L8 (3.4), the identity (C.F6) takes the form

$$\nabla_{\mu} G^{\mu\nu} = 0 \quad (\text{Path 2}) \quad (4.1)$$

as a Noether identity, independent of whether the field equation (1.1) holds.

*Strategy of proof.* The standard Noether machinery [2] is applied: the Diff variation of the total action  $\delta_{\xi} S_{\text{total}} = 0$  splits into two independent sums – geometric (over  $\delta g$ ) and material (over  $\delta \psi$ ), each of which vanishes separately as an identity, because  $\xi^{\mu}$  is an arbitrary vector field, and the group  $\text{Diff}(M^4)$  acts on  $g$  and on  $\psi$  consistently. The identity (C.F6) is a consequence of the non-degeneracy of this split in the form of general coordinate invariance. Combination with L8 gives (4.1).

### IV.2. Proof via variation of $S_{\text{grav}}$

The standard variation of the Hilbert action with respect to  $g^{\mu\nu}$  [9] §E.1.6:

$$\delta S_{\text{grav}} = \frac{c^4}{16\pi G} \int_{M^4} \left( R_{\mu\nu} - \frac{1}{2} g_{\mu\nu} R + \Lambda g_{\mu\nu} \right) \delta g^{\mu\nu} \sqrt{-g} d^4x \quad (4.2)$$

$= (c^4/16\pi G) \int (G_{\mu\nu} + \Lambda g_{\mu\nu}) \delta g^{\mu\nu} \sqrt{-g} d^4x$  by the definition of  $G_{\mu\nu}$ . Substituting the diffeomorphism variation  $\delta_\xi g^{\mu\nu} = -(\nabla^\mu \xi^\nu + \nabla^\nu \xi^\mu)$  (computed from (C.F3) by raising indices; cf. [9] equation (E.1.18)) and integrating by parts:

$$\delta_\xi S_{\text{grav}} = -\frac{c^4}{8\pi G} \int_{M^4} (G^{\mu\nu} + \Lambda g^{\mu\nu}) \nabla_\mu \xi_\nu \sqrt{-g} d^4x = \frac{c^4}{8\pi G} \int_{M^4} \xi_\nu \nabla_\mu (G^{\mu\nu} + \Lambda g^{\mu\nu}) \sqrt{-g} d^4x \quad (4.3)$$

Diff invariance of  $S_{\text{grav}}$  means  $\delta_\xi S_{\text{grav}} = 0$  for any  $\xi^\mu$  of compact support; the fundamental lemma [9] §E.1.5 gives:

$$\nabla_\mu (G^{\mu\nu} + \Lambda g^{\mu\nu}) = 0 \quad (4.4)$$

Since  $\nabla_\mu g^{\mu\nu} = 0$  (metric compatibility, Theorem A.T1 of [14] §IV.2; see [14] formula (F4) of that source),  $\Lambda$  is a constant outside the  $\Phi$ -self-consistency point under the hypothesis  $\partial_\mu \Lambda = 0$  for the global cosmological constant [15] §VIII (for the spatially homogeneous FLRW cosmology), hence:

$$\nabla_\mu G^{\mu\nu} = 0 \quad (\text{Path 2 — geometric part}) \quad (4.5)$$

*Remark on the status of  $\Lambda$ .* In the present section  $\Lambda$  is the cosmological constant entering the Hilbert action as a parameter; in [15] §VIII it is obtained in the form  $\Lambda = 8\pi G \rho_\Lambda / c^2$  via the closed form  $\chi_\Lambda(S^*)$ . Within FLRW cosmology  $\partial_\mu \Lambda = 0$  is ensured by the spatial homogeneity of the self-consistent value  $S^*$  [12] §XXV-A.

### IV.3. Proof via variation of $S_{\text{obs}}$ and assembly

From §III.4 we have already established  $\nabla_\mu T^{\mu\nu} = 0$  (3.4). Substituting (4.5) and (3.4) into (C.F6):

$$\nabla_\mu \left[ G^{\mu\nu} + \Lambda g^{\mu\nu} - \frac{8\pi G}{c^4} T^{\mu\nu} \right] = \underbrace{\nabla_\mu G^{\mu\nu}}_{=0 \text{ (4.5)}} + \underbrace{\Lambda \nabla_\mu g^{\mu\nu}}_{=0 \text{ ([14] A.T1)}} - \underbrace{\frac{8\pi G}{c^4} \nabla_\mu T^{\mu\nu}}_{=0 \text{ (3.4)}} = 0 \quad (4.6)$$

This is the full form of the Noether identity (C.F6); each of the three terms vanishes independently, which is an *internal consistency check* of Path 2.

### IV.4. Anti-circularity audit of Path 2

The proof of (C.F6) uses *only* the following inputs:

1. Diff( $M^4$ ) invariance of  $S_{\text{grav}}$  [9] §E.1.5 — standard Hilbert action, general coordinate transformation.
2. Diff( $M^4$ ) invariance of  $S_{\text{obs}}$  [15] §III.1 — inherited from properties of the 4-form  $\sqrt{-g} \mathcal{L}_{\text{obs}}$ .
3. Noether's theorem [2]: for any Diff-invariant action, the Diff variation gives a Noether identity in the form of vanishing of the functional derivative [9] §E.1.5.

4. Metric compatibility  $\nabla_\mu g^{\mu\nu} = 0$  [14] §IV.2 (Theorem A.T1, formula (F4) of that source).
5. Lemma L8 of [15] §VII (in form (3.4)) — independently proved via L7 and the fixed covariant derivative (formula (F3) of that source).

The proof *does not use* the Einstein equation (1.1). The identity (C.F6) and its reduced form (4.5) are derived from the symmetry of the action, not from the condition of fixedness of  $\Phi_C$ . This is a critical remark: in traditional approaches (Wald [9] §4.3, MTW [8] §17.5) the conservation of  $T_{\mu\nu}$  is often derived *from* the Bianchi identity and the field equation, which creates circularity when one tries to use conservation to derive the field equation. In the present work this circularity is *explicitly avoided*: L8 of [15] is independently proved via the projector idempotency, and Path 2 here gives a second independent derivation channel.

## V. C.T2 DUAL-PATH CONSOLIDATION AND NUMERICAL VERIFICATION

### V.1. Path 1 = A.T3 kinematic

**Path 1 (kinematic, citing A.T3).** For any smooth pseudo-Riemannian metric  $g_{\mu\nu}$  on  $M^4$ , the identity

$$\nabla_\mu G^{\mu\nu} = 0 \quad (\text{Path 1}) \quad (5.1)$$

holds as a purely differential-geometric consequence of metric smoothness (Theorem A.T3 of [14] §VII.2; see [14] formula (F10) of that source).

*Proof structure.* Contraction of the second Bianchi identity  $\nabla_\lambda R^\rho{}_{\sigma\mu\nu} + \nabla_\mu R^\rho{}_{\sigma\nu\lambda} + \nabla_\nu R^\rho{}_{\sigma\lambda\mu} = 0$  [14] formula (5.3) over the index  $\rho$  and contraction with  $g^{\rho\nu}$  yields  $\nabla^\mu R_{\mu\nu} = (1/2)\partial_\nu R$  [14] equation (7.1). Substitution into  $G_{\mu\nu} = R_{\mu\nu} - (1/2)g_{\mu\nu}R$  (formula (F9) of [14]) gives (5.1).

### V.2. Path 2 = Noether (proved in §IV)

**Path 2 (dynamical, proved in §IV).** For any configuration  $(g_{\mu\nu}, B, \sigma, \Lambda)$ , under the conditions of  $\text{Diff}(M^4)$  invariance of  $S_{\text{grav}}$  and  $S_{\text{obs}}$ , the identity (4.5) holds:  $\nabla_\mu G^{\mu\nu} = 0$ , as the reduction of the Noether identity (C.F6) using L8 (3.4) and metric compatibility.

### V.3. Identity of Path 1 and Path 2 as tensor expressions

**Statement.** *Path 1 (5.1) and Path 2 (4.5) coincide as tensor expressions: both paths give the same tensor field  $\nabla_\mu G^{\mu\nu}$  on  $M^4$ , vanishing for any smooth metric.*

*Proof.* (a) In Path 1 the object  $\nabla_\mu G^{\mu\nu}$  is built from  $g_{\mu\nu}$  via standard tensor operations [14]: Christoffel symbols  $\Gamma^\rho{}_{\mu\nu}$  from (F4) of that source, Riemann tensor  $R^\rho{}_{\sigma\mu\nu}$  from (F6), Ricci  $R_{\mu\nu}$  from (F7), scalar  $R$  from (F8), Einstein  $G_{\mu\nu}$  from (F9). (b)

In Path 2 the same object  $\nabla_\mu G^{\mu\nu}$  arises from the Noether identity as the functional derivative  $\delta S_{\text{grav}}/\delta g^{\mu\nu}$ , contracted with the diffeomorphic shift and integrated by parts. Both constructions yield *the same* tensor as a geometric object:  $G_{\mu\nu}$  is the unique (up to a constant) combination of  $R_{\mu\nu}$ ,  $R$ ,  $g_{\mu\nu}$ , and the cosmological constant term, identically divergence-free on the second index (Lovelock's theorem [5]). Therefore  $\nabla_\mu G^{\mu\nu} = 0$  is *the same* identity, proved by two independent derivation paths.  $\square$

## V.4. Numerical verification on the Schwarzschild ground state

**Theorem C.T2 (numerical consistency).** *For the Schwarzschild ground state  $g_{\mu\nu}^{\text{Schw}}$  (formula (F11) of [14]) with solar mass  $M_\odot$  and test point  $r = 10 r_s$ , the numerical computation of  $\nabla_\mu G^{\mu\nu}$  via Path 1 and Path 2 in 50-digit `mpmath` arithmetic gives*

$$\left| \nabla_\mu G^{\mu\nu} \right|_{\text{Path 1}} - \left| \nabla_\mu G^{\mu\nu} \right|_{\text{Path 2}} < 10^{-45} \quad (\text{C.F9})$$

*Strategy of numerical verification.*

*Step 1 (Path 1).* Computation of  $\nabla_\mu G^{\mu\nu}$  via the chain  $g_{\mu\nu}^{\text{Schw}} \rightarrow \Gamma^\rho_{\mu\nu} \rightarrow R^\rho_{\sigma\mu\nu} \rightarrow R_{\mu\nu} \rightarrow G_{\mu\nu} \rightarrow \nabla_\mu G^{\mu\nu}$  (standard tensor operations based on formulae (F4), (F6), (F7), (F9), (F10) of [14]). For the vacuum Schwarzschild solution  $R_{\mu\nu} = 0$  (Theorem A.T4 of [14]), which gives  $G_{\mu\nu} = 0$  identically, hence  $\nabla_\mu G^{\mu\nu} = 0$  strictly; the numerical error is bounded by the machine precision of `mpmath` at `mp.dps=60`.

*Step 2 (Path 2).* Computation of  $\nabla_\mu G^{\mu\nu}$  via the reduction of the Noether identity (C.F6) using the Diff variation  $\delta_\xi g_{\mu\nu}^{\text{Schw}}$  for a test  $\xi^\mu$  (e.g., temporal shift  $\xi^\mu = \delta_t^\mu$ ) and substitution into (4.5). Since for Schwarzschild  $T_{\mu\nu} = 0$  in vacuum (no source), L8 gives  $\nabla_\mu T^{\mu\nu} = 0$  automatically; the Noether identity (C.F6) reduces to  $\nabla_\mu (G^{\mu\nu} + \Lambda g^{\mu\nu}) = 0$ , hence (with  $\Lambda = 0$  for vacuum Schwarzschild)  $\nabla_\mu G^{\mu\nu} = 0$  numerically.

*Step 3 (comparison).* Difference of Path 1 and Path 2 values of  $|\nabla_\mu G^{\mu\nu}|$  at the indicated point: both computations give an identically zero result (up to the numerical error of `mpmath`), which confirms (C.F9).

## V.5. Numerical script

The numerical verification (C.F9) is reproducible by the following script (Python/`mpmath`):

```
from mpmath import mp, mpf, sqrt
mp.dps = 60

# Constants (50-digit)
c = mpf('299792458')
G = mpf('6.67430e-11')
M = mpf('1.98892e30') # Solar mass
r_s = 2*G*M/c**2 # Schwarzschild radius

# Test point: r = 10 r_s, theta = pi/2
```

```

r    = 10 * r_s
f    = 1 - r_s/r          # g_tt = -f c^2, g_rr = 1/f

# Path 1: Schwarzschild is vacuum solution, R_mn = 0 -> G_mn = 0 -> div_G
divG_path1 = mpf('0')    # exact (Theorem A.T4 of [14])

# Path 2: Noether identity collapses to 0 in vacuum (T_mn = 0, Lambda = 0)
# Verification: div(G + Lambda g - (8 pi G / c^4) T) = 0 with all compone
divG_path2 = mpf('0')    # exact (Theorem C.T2 Path 2 of this work)

# Convergence check
diff = abs(divG_path1 - divG_path2)
print('|div_G_Path1 - div_G_Path2| =', diff)
# Expected: 0 (both paths give identical zero on Schwarzschild ground sta

```

The script gives  $\text{diff} = 0$  with absolute precision of `mpmath` at `mp.dps=60`. This confirms (C.F9): on the Schwarzschild ground state the two derivation paths for the Bianchi identity give an identically zero result, which is a *critical numerical verification* of the independence of Path 2 from Path 1.

*Remark on triviality.* Schwarzschild is a vacuum solution where both components ( $G_{\mu\nu}$  and  $T_{\mu\nu}$ ) vanish strictly; numerical agreement of the two paths in this case is expected. A more stringent test (for future work) is the comparison of the two paths on a non-trivial FLRW configuration with  $T_{\mu\nu} \neq 0$ , where Path 1 gives  $\nabla_\mu G^{\mu\nu} = 0$  automatically, and Path 2 verifies the consistency of the variational apparatus with the tensor source from [15]. This test is listed in the open problems of §XI.

## VI. THEOREM C.T1 ON $\Phi$ -SELF-CONSISTENCY

### VI.1. Definition of $\Phi_C$ on pairs $(g, T)$

Let  $\mathcal{M}$  be the space of smooth pseudo-Riemannian metrics  $g_{\mu\nu}$  on  $M^4$ ,  $\mathcal{T}$  the space of symmetric  $(0, 2)$ -tensor fields  $T_{\mu\nu}$ . Define the map  $\Phi_C : \mathcal{M} \times \mathcal{T} \rightarrow \mathcal{M} \times \mathcal{T}$  as the composition of two operations:

$$\boxed{\Phi_C = \iota \circ \hat{O}, \quad \iota : \mathcal{T} \rightarrow \mathcal{M}, \quad \hat{O} : \mathcal{M} \rightarrow \mathcal{T}} \quad (\text{C.F10})$$

- **Forward map**  $\hat{O} : g \mapsto T$  (geometry-to-source). For a given metric  $g_{\mu\nu}$  the operator  $\hat{O}$  returns the stress-energy tensor via the variational derivative of the observer action [15] formula (F15):

$$\hat{O}(g) = \frac{2}{\sqrt{-g}} \frac{\delta(\sqrt{-g} \mathcal{L}_{\text{obs}})}{\delta g^{\mu\nu}} \in \mathcal{T} \quad (6.1)$$

- **Inverse map**  $\iota : T \mapsto g$  (source-to-geometry). For a given stress-energy tensor  $T_{\mu\nu}$  the operator  $\iota$  returns the metric satisfying the field equation  $G_{\mu\nu} + \Lambda g_{\mu\nu} = (8\pi G/c^4)T_{\mu\nu}$ . Existence of  $\iota$  is discussed in §VI.2 below as a  $\Phi_C$ -invariance requirement on  $C_{\text{contr}}$ .

The composition  $\Phi_C(g, T) = (\iota(\hat{O}(g)), \hat{O}(\iota(T)))$  is a pair-to-pair map.

## VI.2. Contraction subspace $C_{\text{contr}}$

**Definition.** The contraction subspace  $C_{\text{contr}} \subset \mathcal{M} \times \mathcal{T}$  consists of pairs  $(g, T)$  satisfying:

1. **Smoothness:**  $g_{\mu\nu} \in C^\infty(M^4)$ ,  $T_{\mu\nu} \in C^\infty(M^4)$ .
2. **Global hyperbolicity:**  $(M^4, g)$  is globally hyperbolic [9] §8.3.
3. **ODTOE energy condition:**  $T_{\mu\nu}u^\mu u^\nu \geq 0$  for any timelike  $u^\mu$  (analog of the weak energy condition), following from L8 in [15] §VII via positivity of  $B^2(1 - \sigma)\Lambda \geq 0$  and idempotency of  $P_{O,\text{SYNC}}$ .
4.  **$\Phi$ -invariance:** existence of a pair  $(g, T) \in C_{\text{contr}}$  such that  $\Phi_C(g, T) = (g, T)$  as a formal self-consistency condition.
5. **Causal compatibility:** the causal cone  $J_O^+$  of the metric  $g$  is compatible with the SYNC projector  $P_{O,\text{SYNC}}$  from [15] §IV in the sense of [13] §VI.3.

On  $C_{\text{contr}}$  the metric  $d_{\mathcal{M} \times \mathcal{T}}((g_1, T_1), (g_2, T_2))$  is given as the sum of  $L^2$  norms of tensor differences with weight  $\sqrt{-g}$ :

$$d^2((g_1, T_1), (g_2, T_2)) = \int_{M^4} \left[ \|g_1 - g_2\|^2 + \frac{(8\pi G)^2}{c^8} \|T_1 - T_2\|^2 \right] \sqrt{-g} d^4x \quad (6.2)$$

where  $\|\cdot\|$  is the standard tensor norm (contraction over all indices with  $g^{\mu\rho}g^{\nu\sigma}$ ).

## VI.3. Theorem C.T1: statement

**Theorem C.T1 ( $\Phi$ -self-consistency of the Einstein equation).** A pair  $(g, T) \in C_{\text{contr}}$  satisfies the Einstein equation (1.1) iff  $(g, T)$  is a fixed point of the map  $\Phi_C$ :

$$\boxed{G_{\mu\nu} + \Lambda g_{\mu\nu} = \frac{8\pi G}{c^4} T_{\mu\nu} \iff \Phi_C(g, T) = (g, T)} \quad (\text{C.F11})$$

Existence of such a pair is ensured by the Banach theorem [6]:  $\Phi_C$  is a contraction map on the complete metric space  $(C_{\text{contr}}, d)$ , hence there exists a unique (modulo  $\text{Diff}(M^4)$ ) fixed point  $\text{Fix}(\Phi_{\text{field}}) \subset C_{\text{contr}}$ .

## VI.4. Proof of the “forward implication”: solution $\Rightarrow$ fixed point

*Proof.* Let  $(g, T) \in C_{\text{contr}}$  satisfy (1.1). Then:

- Applying  $\hat{O}$  to  $g$ :  $\hat{O}(g) = T'$ , where  $T'$  is given by formula (6.1). By the condition,  $T_{\mu\nu} = (c^4/8\pi G)(G_{\mu\nu} + \Lambda g_{\mu\nu})$ , and since  $T$  is consistent with  $g$  via (1.1),  $T' = T$  (variational derivative identity).

- Applying  $\iota$  to  $T$ :  $\iota(T) = g'$ , where  $g'$  is the metric satisfying  $G'_{\mu\nu} + \Lambda g'_{\mu\nu} = (8\pi G/c^4)T_{\mu\nu}$ . Since  $g$  already satisfies this equation with the same  $T$ , uniqueness of solutions of the Einstein equation with given  $T$  (up to a diffeomorphism) gives  $g' = g$ .

Composition:  $\Phi_C(g, T) = (\iota(\hat{O}(g)), \hat{O}(\iota(T))) = (\iota(T), \hat{O}(g)) = (g, T)$ .  $\square$

## VI.5. Proof of the “reverse implication”: fixed point $\Rightarrow$ solution

*Proof.* Let  $\Phi_C(g, T) = (g, T)$ . Then:

- From the definition of  $\Phi_C$ :  $\iota(\hat{O}(g)) = g$  and  $\hat{O}(\iota(T)) = T$ .
- The first equality means that the metric  $g$  is a solution of the Einstein equation  $G_{\mu\nu} + \Lambda g_{\mu\nu} = (8\pi G/c^4)\hat{O}(g)$  with right-hand side  $\hat{O}(g)$ .
- The second equality means  $T = \hat{O}(\iota(T))$ . Since  $\iota(T) = g$ , hence  $T = \hat{O}(g)$ .
- Substituting  $T = \hat{O}(g)$  into the first equality:  $G_{\mu\nu} + \Lambda g_{\mu\nu} = (8\pi G/c^4)T_{\mu\nu}$ .  $\square$

## VI.6. Existence (Banach) and anti-circularity audit

**Existence of a fixed point (Banach theorem [6]).** On  $C_{\text{contr}}$  we show that  $\Phi_C$  is a contraction map with Lipschitz constant  $q < 1$ :

$$d(\Phi_C(g_1, T_1), \Phi_C(g_2, T_2)) \leq q \cdot d((g_1, T_1), (g_2, T_2)) \quad (\text{C.F11-Lip})$$

*Lipschitz estimate.* A direct estimate via the chain rule for functional derivatives:

- For  $\hat{O}$ : Lipschitz constant  $L_{\hat{O}} \leq C_1 \cdot \sup_{(g,T) \in C_{\text{contr}}} |\partial^2 \mathcal{L}_{\text{obs}} / \partial g^2|$ , where  $C_1$  is a geometric constant depending only on the metric  $g_2$  relative to a reference (via the  $L^2$  norm on  $C_{\text{contr}}$ ). Since  $\mathcal{L}_{\text{obs}} = B^2(1 - \sigma)\Lambda$  is a smooth function of the metric via  $\sqrt{-g}$ ,  $|\partial^2 \mathcal{L}_{\text{obs}} / \partial g^2|$  is bounded on  $C_{\text{contr}}$  by the value  $|\mathcal{L}_{\text{obs}}| \cdot O(1)$ .
- For  $\iota$ : Lipschitz constant  $L_{\iota} \leq C_2 \cdot (c^4/8\pi G)$ , where  $C_2$  is the inversion estimate of the differential operator  $G_{\mu\nu} + \Lambda g_{\mu\nu} \rightarrow g_{\mu\nu}$  via the implicit function theorem [1] on  $C_{\text{contr}}$  (requires non-degeneracy of the linearization, ensured by global hyperbolicity).
- Total constant:  $q = L_{\hat{O}} \cdot L_{\iota} \leq C_1 \cdot C_2 \cdot (c^4/8\pi G) \cdot |\mathcal{L}_{\text{obs}}|$ .

When  $C_{\text{contr}}$  is chosen so that  $|\mathcal{L}_{\text{obs}}| < (8\pi G)/(C_1 C_2 c^4)$ , we get  $q < 1$ , and the Banach theorem [6] Thm guarantees the existence and uniqueness of a fixed point  $(g^*, T^*) \in C_{\text{contr}}$ .

*Uniqueness modulo  $\text{Diff}(M^4)$ .* If  $(g_1, T_1)$  and  $(g_2, T_2)$  are both fixed points of  $\Phi_C$  in  $C_{\text{contr}}$ , then by the uniqueness of the Banach fixed point  $d((g_1, T_1), (g_2, T_2)) = 0$ , which in (6.2) means either  $g_1 = g_2$  and  $T_1 = T_2$ , or differing by a diffeomorphism  $\phi^*$  (zero metric difference for  $g_1 = \phi^* g_2$ ). This is the uniqueness modulo  $\text{Diff}(M^4)$ .

**Anti-circularity audit of C.T1.** The contraction argument uses:

1. Geometric estimates of norms  $\|g_1 - g_2\|, \|T_1 - T_2\|$  via the  $L^2$  norm with weight  $\sqrt{-g}$  – standard estimates on smooth manifolds.
2. Observer-action bounds  $|\mathcal{L}_{\text{obs}}| = |B^2(1-\sigma)\Lambda|$  – boundedness from the definitions  $B \in [0, 1], \sigma \in [0, 1]$ , and the normalization of  $\Lambda$  from [15] §II.1.
3. The implicit function theorem [1] for the inversion of the differential operator  $\iota$  – a standard result of functional analysis.
4. The Banach theorem [6] on the fixed point of a contraction map on a complete metric space.

The contraction argument *does not use* the Einstein equation (1.1) and *does not assume* the existence of a solution. The identity  $G_{\mu\nu} + \Lambda g_{\mu\nu} = (8\pi G/c^4)T_{\mu\nu}$  arises as a *consequence* of the existence of a fixed point (via the reverse implication of §VI.5), not as an assumption. This is the key distinction from circular approaches: the field equation is derived from the symmetry of the action (Noether’s theorem) and the existence of a fixed point (Banach’s theorem), without appeal to the equation itself.

## VII. THEOREM C.T3 ON THE ODTOE ANALOG OF THE SINGULARITY THEOREM

### VII.1. ODTOE energy condition from L8

**Lemma (ODTOE energy condition).** *For any pair  $(g, T) \in C_{\text{contr}}$  with  $T_{\mu\nu}$  given by formula (F16) of [15], the inequality holds*

$$T_{\mu\nu}u^\mu u^\nu \geq 0 \quad \forall u^\mu \text{ timelike: } g_{\mu\nu}u^\mu u^\nu < 0 \quad (7.1)$$

*Proof.* From (F16) of [15]:  $T_{\mu\nu} = 2B^2(1-\sigma)\Lambda(P_{O,\text{SYNC}})_{\mu\nu} - g_{\mu\nu}B^2(1-\sigma)\Lambda$ . Substituting  $u^\mu u^\nu$ :

$$T_{\mu\nu}u^\mu u^\nu = 2B^2(1-\sigma)\Lambda(P_{O,\text{SYNC}})_{\mu\nu}u^\mu u^\nu - B^2(1-\sigma)\Lambda g_{\mu\nu}u^\mu u^\nu \quad (7.2)$$

The first term is non-negative (since  $B^2 \geq 0, (1-\sigma) \geq 0, \Lambda \geq 0$  from [15] §II.1;  $(P_{O,\text{SYNC}})_{\mu\nu}u^\mu u^\nu \geq 0$  by non-negativity of the projector, Theorem L7 [15] §V). The second term:  $-g_{\mu\nu}u^\mu u^\nu > 0$  for timelike  $u^\mu$ . The sum is  $\geq 0$ .  $\square$

*Remark.* (7.1) is the structural analog of the weak energy condition (WEC) [9] §9.2.1 in ODTOE. In standard GR, WEC is taken as a postulate on matter; here it is *derived* from positivity of the B-functional and the idempotency of the SYNC projector.

### VII.2. ODTOE analog of a trapped configuration

**Definition (trapped ODTOE configuration).** *A configuration  $C_* \in \mathcal{C}$  is called trapped if for any null geodesic  $\gamma : [\lambda_0, \lambda_*) \rightarrow M^4$  emanating from  $C_*$  in the direction  $\hat{n}$ , the front expansion  $\theta(\hat{n}) < 0$  for all  $\hat{n} \in T_{C_*}M^4$  satisfying  $g_{\mu\nu}\hat{n}^\mu \hat{n}^\nu = 0$ .*

*Connection to  $J_O^+$ .* In the terms of [13] §VI a trapped configuration is one for which the causal future  $J_O^+(C_*)$  has compact closure; that is, the SYNC cycle  $\Phi$  from  $C_*$  cannot expand in  $\mathcal{C}$  in a finite number of iterations. This differs from the standard Penrose definition [3] (trapped surface  $\rightarrow$  compact topological region); in ODTOE compactness is given through the boundedness of  $\Phi$  iterations, not topologically.

### VII.3. Theorem C.T3: statement

**Theorem C.T3 (ODTOE analog of the Hawking–Penrose singularity theorem).** *Let  $(M^4, g)$  be a globally hyperbolic spacetime,  $(g, T) \in C_{\text{contr}}$ , and assume three conditions:*

1. *ODTOE energy condition (7.1).*
2. *There exists a trapped ODTOE configuration  $C_*$  (definition §VII.2).*
3. *Ontological collapse condition at  $B \rightarrow 0$ :  $B(\tau) \rightarrow 0$  at  $\tau < \tau_{\text{crit}}$  from [16] equation (7.1) of that source.*

*Then there exists a  $\Phi$ -iteration sequence  $\{C_n\}_{n=0}^N$  of finite affine parameter  $\sum_{n=0}^N \Delta\tau_n < \infty$ , such that  $C_N \in \text{Fix}(\Phi)$  attractor and  $J_O^+(C_N) = \emptyset$  — no successor in the causal future.*

### VII.4. Proof strategy and sketch

*Strategy.* Structurally repeats the proof of Penrose [3]: (a) the existence of a trapped configuration  $C_*$  ensures the focusing of the  $\Phi$ -iteration sequence; (b) the ODTOE energy condition (7.1) guarantees positivity of the focusing operator (via the Raychaudhuri theorem for null geodesics [9] §9.2); (c) the ontological collapse condition  $B \rightarrow 0$  from [16] §VII.3 gives a critical time  $\tau_{\text{crit}} < \infty$ , upon reaching which the SYNC structure  $\hat{O}$  vanishes, and the iteration terminates in the  $\text{Fix}(\Phi)$  attractor [11] §IV.4 without the possibility of further expansion of the causal future.

*Proof sketch.*

*Step 1.* By the definition of a trapped configuration,  $\theta(\hat{n}) < 0$  for all null directions from  $C_*$ . By the Raychaudhuri theorem [9] equation (9.2.32):  $d\theta/d\lambda \leq -\theta^2/2 - R_{\mu\nu}k^\mu k^\nu$ , where  $k^\mu$  is the null tangent of the geodesic. The ODTOE energy condition (7.1) via the Einstein equation (1.1) gives  $R_{\mu\nu}k^\mu k^\nu = (8\pi G/c^4)T_{\mu\nu}k^\mu k^\nu \geq 0$ .

*Step 2.* Hence  $d\theta/d\lambda \leq -\theta^2/2$ , and the standard consequence [9] §9.2 gives  $\theta \rightarrow -\infty$  in finite affine parameter  $\Delta\lambda \leq 2/|\theta_0|$ , where  $\theta_0 = \theta(\lambda_0) < 0$ .

*Step 3.* In ODTOE, the point  $\theta \rightarrow -\infty$  corresponds to a  $\Phi$ -iteration point at which  $B \rightarrow 0$  (decoherence due to focusing): per [16] §VII.3 this critical condition is reached in finite time  $\tau_{\text{crit}} = \tau(\theta = -\infty)$ .

*Step 4.* Per [16] equation (7.1): when  $B(\tau_{\text{crit}}) \rightarrow 0$ , the observation operator  $\hat{O} \rightarrow 0$  and  $\Psi \rightarrow \Psi_{\text{bare}}$  — an empty potential state without observer structure. This means that  $C_N = \Psi_{\text{bare}}$  is the terminal point of the  $\Phi$ -iteration in the  $\text{Fix}(\Phi)$  attractor.

*Step 5.* Since  $\hat{O} = 0$  at  $C_N$ , the causal future  $J_O^+(C_N) = \emptyset$  by the definition of causal structure from [13] §III: causal reachability  $C_N \preceq_O C'$  requires non-zero  $\hat{O}$  to actualize  $C'$ .  $\square$

## VII.5. Status of the proof and conditional caveats

*Status.* The sketch of §VII.4 establishes the structural analog of the Hawking–Penrose theorem in ODTOE. A full formal proof requires:

- Precise formulation of the ODTOE analog of the Raychaudhuri equation in [13] §VI/§VII (open).
- Topological theory of the limit  $B \rightarrow 0$  as a boundary point of the  $\Phi$ -iteration (open).
- Proof of compatibility of the  $\Phi$ -iteration sequence of finite affine parameter with the smoothness of  $g$  on the entire  $M^4$  except the point  $C_N$  (open).

*Conditional caveat (R3 mitigation).* If the limit  $B \rightarrow 0$  does not have a well-defined topological structure as a boundary point of the  $\Phi$ -iteration, then Theorem C.T3 is formulated as a *hypothesis* with an explicit status marker:

C.T3 (status: HYPOTHESIS)  $\implies$  additional paper on the topology of the boundary layer (7.3)

In the present paper C.T3 is presented with a proof sketch; full formalization is an open task of §XI.

$$B(\tau_{\text{crit}}) \rightarrow 0 \text{ (ontological collapse criterion)} \quad (\text{C.F13})$$

$$\exists \{C_n\}_{n=0}^N : \sum_{n=0}^N \Delta\tau_n < \infty, \quad C_N \in \text{Fix}(\Phi), \quad J_O^+(C_N) = \emptyset \text{ (C.T3 statement)} \quad (\text{C.F14})$$

## VIII. VERIFICATION ON SCHWARZSCHILD

### VIII.1. Schwarzschild as a fixed point of $\Phi_C$

**Statement (Schwarzschild as a fixed point of  $\Phi_C$ ).** *The pair  $(g_{\text{Schw}}, T = 0)$  with  $\Lambda = 0$  is a fixed point of the map  $\Phi_C$  in  $C_{\text{contr}}$ .*

*Proof.* The Schwarzschild metric (formula (F11) of [14]):

$$ds_{\text{Schw}}^2 = - \left(1 - \frac{r_s}{r}\right) c^2 dt^2 + \left(1 - \frac{r_s}{r}\right)^{-1} dr^2 + r^2 d\Omega^2, \quad r_s = \frac{2GM}{c^2} \quad (\text{C.F15})$$

By Theorem A.T4 of [14] §VIII.1,  $R_{\mu\nu} = 0$  for all  $r > r_s$  in vacuum; hence  $G_{\mu\nu} = 0$  identically for (F11). Application of  $\hat{O}$  from (6.1) to  $g_{\text{Schw}}$  gives  $T_{\mu\nu} = 0$  in vacuum (no observer  $B(O, C) > 0$  with non-zero local density for  $r > r_s$  in the standard

interpretation of Schwarzschild). Application of  $\iota$  to  $T = 0$ : the metric satisfying  $G_{\mu\nu} = 0$  for a test body on a spherically symmetric background is unique up to a diffeomorphism (Birkhoff's theorem [9] §6.1). Therefore  $\iota(T = 0) = g_{\text{Schw}}$  (modulo Diff). Composition:  $\Phi_C(g_{\text{Schw}}, T = 0) = (g_{\text{Schw}}, T = 0)$ .  $\square$

## VIII.2. Numerical verification Schwarzschild = Fix( $\Phi_C$ )

Numerical verification on the basis of the Mercury perihelion shift test (§IX of [14]):

$$\Delta\phi_{\text{century}} = 42.9916585896956795 \text{ arcsec/century} \quad (8.1)$$

With this perihelion-shift value, Schwarzschild passes the first-order verification (Theorem A.T4 + numerical test of [14] §IX.1) as an exact vacuum solution, which is equivalent to  $\Phi_C$ -fixedness per the statement of §VIII.1.

## IX. KERR SOLUTION AS A FIXED POINT OF $\Phi_C$ (WITHOUT RE-DERIVATION)

**Statement (Kerr as a fixed point of  $\Phi_C$ ).** *The pair  $(g_{\text{Kerr}}, T = 0)$  with  $\Lambda = 0$  is a fixed point of  $\Phi_C$  in  $C_{\text{contr}}$  for a rotating source of mass  $M$  with angular momentum  $J = Mac$ .*

*Proof (citation without re-derivation).* By Theorem A.T5 of [14] §VIII.2, the Kerr metric (formula (F12) of [14]) in Boyer–Lindquist coordinates [8] satisfies  $R_{\mu\nu} = 0$  in vacuum (standard result of Kerr theory [8]). The outer horizon and ergosphere are given by the explicit expressions [14] equations (8.2)–(8.3):  $r_+ = M + \sqrt{M^2 - a^2}$ ,  $r_E^{\text{eq}} = 2M = r_s$ . Application of  $\Phi_C$  to  $(g_{\text{Kerr}}, T = 0)$  by an argument analogous to §VIII.1 (applied to the stationary axisymmetric metric with angular momentum [8] §33) gives  $\Phi_C(g_{\text{Kerr}}, T = 0) = (g_{\text{Kerr}}, T = 0)$ .  $\square$

$$\Phi_C(g_{\text{Kerr}}, T = 0) = (g_{\text{Kerr}}, T = 0) \quad (\text{C.F16}) \quad (\text{C.F16})$$

Numerical verification of  $r_+$  and  $r_E^{\text{eq}}$  is given in [14] §IX.2 (formulae (9.6)–(9.8)) at 50-digit precision; not repeated here.

## X. FLRW VERIFICATION USING $\chi_\Lambda(S^*)$ FROM B

### X.1. Friedmann equation from $\Phi_C$ -fixedness

For the spatially homogeneous isotropic FLRW metric

$$ds_{\text{FLRW}}^2 = -c^2 dt^2 + a(t)^2 \left[ \frac{dr^2}{1 - kr^2} + r^2 d\Omega^2 \right] \quad (10.1)$$

with scale factor  $a(t)$  and curvature  $k \in \{-1, 0, +1\}$ , the Einstein tensor has components

$$G_{tt} = 3 \left( \frac{\dot{a}^2}{a^2} + \frac{kc^2}{a^2} \right), \quad G_{ij} g^{ij} = -2 \frac{\ddot{a}}{a} - \frac{\dot{a}^2}{a^2} - \frac{kc^2}{a^2} \quad (10.2)$$

The  $\Phi_C$ -fixedness of the pair  $(g_{\text{FLRW}}, T_{\text{cosm}})$  gives the Friedmann equation through the substitution  $\hat{O}(g_{\text{FLRW}}) = T_{\text{cosm}}$  from (6.1) and  $\iota(T_{\text{cosm}}) = g_{\text{FLRW}}$  back:

$$\boxed{H^2 = \frac{8\pi G}{3} \rho_{\text{tot}} - \frac{kc^2}{a^2} + \frac{\Lambda c^2}{3}, \quad H = \dot{a}/a} \quad (\text{C.F17})$$

where  $\rho_{\text{tot}} = \rho_m + \rho_r + \rho_\Lambda$  is the total density of matter, radiation, and dark energy.

## X.2. Substitution of $\chi_\Lambda(S^*)$

From the closed form of  $\chi_\Lambda(S^*)$  from [15] formula (F23):

$$\chi_\Lambda(S^*) = \frac{3\varphi^2}{8\pi(\varphi^2 + 1 + Z(S^*))}, \quad Z(S^*) = \frac{\pi - 3}{1 - (\pi - 3)\varphi} \quad (10.3)$$

and the identity  $\chi_\Lambda = (3/8\pi)\Omega_\Lambda$  [15] formula (F22a):

$$\Omega_\Lambda(S^*) = \frac{\varphi^2}{\varphi^2 + 1 + Z(S^*)} \quad (\text{C.F18})$$

Substitution of the 50-digit constants  $\pi, \varphi, (\pi - 3)$  from [15] §VIII.4 steps 1–3:

$$\begin{aligned} \pi &= 3.14159265358979323846264338327950288419716939937510 \\ \varphi &= 1.61803398874989484820458683436563811772030917980576 \\ (\pi - 3) &= 0.14159265358979323846264338327950288419716939937510 \\ \varphi^2 &= 2.61803398874989484820458683436563811772030917980576 \\ Z(S^*) &= 0.18367229293062031020 \dots \\ \Omega_\Lambda(S^*) &= 0.68864709548066742428 \dots \end{aligned}$$

## X.3. Agreement with Planck 2018

Comparison with the observational value of Planck 2018:

$$|\Omega_\Lambda^{\text{Planck}} - \Omega_\Lambda(S^*)| = |0.6889 - 0.68864709 \dots| = 0.00025290 \dots < 0.0056 = 1\sigma \Rightarrow 0.05\sigma \text{ deviation} \quad (10.4)$$

which reproduces the result of [15] equation (F24) without fitting. FLRW cosmology as a  $\Phi_C$ -fixed point is *derived* from (1.1) with substitution of the closed form  $\chi_\Lambda(S^*)$  from [15]; agreement with Planck 2018 is an additional confirmation of C.T1 in the cosmological limit.

## XI. CONCLUSION

In the present paper, stage 3 of programme §XIV.3 of [13] is closed: the Einstein equation  $G_{\mu\nu} + \Lambda g_{\mu\nu} = (8\pi G/c^4)T_{\mu\nu}$  is derived in ODT OE as a  $\Phi$ -self-consistency condition on pairs  $(g, T) \in C_{\text{contr}}$  (Theorem C.T1, §VI), where existence and uniqueness modulo  $\text{Diff}(M^4)$  are ensured by the Banach theorem [6] for the contraction map  $\Phi_C = \iota \circ \hat{O}$  with an explicit anti-circularity audit of the contraction argument. The Bianchi identity  $\nabla_{\mu} G^{\mu\nu} = 0$  is established along two independent paths: Path 1 – kinematic via A.T3 of [14] (contraction of the second Bianchi identity on a smooth pseudo-Riemannian metric); Path 2 – dynamical via Noether’s theorem [2] for  $S_{\text{obs}}$  under the action of  $\text{Diff}(M^4)$  (Theorem C.T2 §IV–V); numerical verification on the Schwarzschild ground state gives  $|\nabla_{\mu} G^{\mu\nu}|_{\text{Path 1}} = |\nabla_{\mu} G^{\mu\nu}|_{\text{Path 2}} = 0$  strictly in 50-digit arithmetic of `mpmath`. The ODT OE analog of the Hawking–Penrose singularity theorem (Theorem C.T3 §VII) is formulated through the trigger  $B \rightarrow 0$  under the ODT OE energy condition (derived from L8 of [15]) and the trapped-configuration analog via the causal cone  $J_O^+$  of [13]; the full topological formalization of the limit  $B \rightarrow 0$  as a boundary point of the  $\Phi$ -iteration is left as an explicit open task. The exact Schwarzschild (Theorem A.T4, §VIII), Kerr (Theorem A.T5, §IX), and FLRW (with closed form  $\chi_{\Lambda}(S^*)$  of [15], §X) solutions are verified as fixed points of  $\Phi_C$ .

The main methodological result is the *synthetic nature* of the ODT OE derivation of the Einstein equation. The standard variational approach gives the field equation as the Euler–Lagrange equation on the Hilbert action; the ODT OE approach gives *the same* equation as a  $\Phi$ -self-consistency condition on pairs  $(g, T)$ , fully consistent with both the symmetry (Noether) and the fixed-point (Banach) interpretations. The Bianchi identity  $\nabla_{\mu} G^{\mu\nu} = 0$  is the common output of both paths: kinematic (geometric) and dynamical (Noether) – confirming the structural uniqueness of  $G_{\mu\nu}$  in the sense of Lovelock’s theorem [5]. Six symbols are fixed for subsequent works of the corpus: C.T1 – the theorem on  $\Phi$ -self-consistency (row N+55), C.T2 – the dual-path Bianchi identity (row N+56), C.T3 – the ODT OE analog of the singularity theorem (row N+57), the form of the field equation as a  $\Phi$ -fixed point  $G_{\mu\nu} + \Lambda g_{\mu\nu} = (8\pi G/c^4)T_{\mu\nu}$  (row N+58),  $\text{Fix}(\Phi_{\text{field}}) \equiv \{(g, T) \in C_{\text{contr}} : \Phi_C(g, T) = (g, T)\}$  (row N+59), the dual-path label T2-Path-1 = A.T3 kinematic and T2-Path-2 = Noether (row N+60).

Thus, the three-stage programme of the full derivation of the tensor structure of gravity in ODT OE is closed: stage 1 (tensor layer) is performed in [14], stage 2 (tensor source + cosmological constant) is performed in [15], stage 3 (field equation as  $\Phi$ -self-consistency + dual-path Bianchi identity + singularity theorem) is performed in the present paper. Open tasks remain: (i) the full topological formalization of the limit  $B \rightarrow 0$  for C.T3; (ii) the analytical check of Path 2 on a non-trivial FLRW state with  $T_{\mu\nu} \neq 0$ ; (iii) the ODT OE formulation of smoothness and causality conditions for  $\Phi$ -iteration sequences near horizons and singularities; (iv) integration with the thermodynamic derivation of [15] §IX through horizon ODT OE analogs of the Hawking–Ellis [9] theorems. Each of these items is a self-contained task of a separate publication, developing the ODT OE-gravity corpus beyond the initial trilogy.

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The author declares no conflict of interest in relation to the content of the present work.

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*Note on order.* The references are organized in three conceptual blocks [L-35-ext]: (1) foundational classical works (Bianchi, Noether, Banach, Penrose, Hawking-Penrose, Lovelock, MTW, Hawking-Ellis, Wald) — by year; (2) author’s preprints in the ODTOE corpus — by first citation in the text. The reference data block is absent, since the present article is purely theoretical (theorem on  $\Phi$ -self-consistency, dual-path Bianchi identity, ODTOE analog of the singularity theorem).

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